



Programme: GSI Professional Pathway - Course Outline

1. Foundation

- Chapter 1 - Ethics In Investments
- Chapter 2 - Savings and Investments
- Chapter 3 - Investment Risk
- Chapter 4 - Financial Services and Markets
- Chapter 5 - Economics
- Chapter 6 - Financial reporting
- Chapter 7 - Legal and Regulatory Framework
- Chapter 8 - Capital Raising
- Chapter 9 - Pensions
- Chapter 10- Taxation
- Chapter 11 - Corporate Governance
- Chapter 12 - Personal Financial Planning

2. Intermediate

- Chapter 1- Ethics
- Chapter 2 - Economics
- Chapter 3 - Quantitative Methods In Finance
- Chapter 4 - Financial Reporting And Analysis
- Chapter 5 - Corporate Finance
- Chapter 6 - Corporate Governance
- Chapter 7 - Securities Market Operators
- Chapter 8 - Investment Funds
- Chapter 9 - Investment Analysis And Portfolio Mgt.
- Chapter 10 - Derivatives
- Chapter 11 - Valuation Of Securities
- Chapter 12 - Investment Risk Management
- Chapter 13 - Securities Industry Laws And Regulations
- Chapter 14 - Pension Laws
- Chapter 15 - Marketing Of Financial Services

3. Legal and Regulatory Framework

Chapter 1 - The Regulatory System of the Financial Sector

Chapter 2 - Companies Act 2019 (Act 992)

Chapter 3 - Law Of Contract

Chapter 4 - Securities Industry Act 2016 (Act 929)

Chapter 5 - Sub Regulators In The Securities Industry Act 2016 (Act 929)

Chapter 6 - Raising Of Capital By Companies

Chapter 7 - Foreign Exchange Dealings

Chapter 8 - Regulatory Framework Relating To Financial Crime

Chapter 9 - Arbitration And Alternative Dispute Resolution

Chapter 10 - Jurisdiction

Chapter 11 - Enforcement Of Foreign Judgements

4. Professional Level Courses

4.1 Corporate Finance

Chapter 1 - Overview of Corporate Finance

Chapter 2 - Corporate Finance Valuation

Chapter 3 - Capital Budgeting

Chapter 4 - Capital Structure and Financing

Chapter 5 - Mergers And Acquisitions

Chapter 6 - Capital Raising Procedures

Chapter 7 - Capital Raising

4.2 Financial Infrastructure

Chapter 1 - Payment Systems

Chapter 2 - Clearing and Settlement

Chapter 3 - Custodial Services

Chapter 4 - Securities Exchanges

4.3 Investment Analysis

Chapter 1 Advanced Valuation

Chapter 2 Fixed Income Analysis

Chapter 3 Capital Market Expectation and Market Valuation

Chapter 4 Economic and Market Analysis

4.4 Securities Trading

Chapter 1 - The Ghana Stock Exchange Structure

Chapter 2 - Roles and Responsibilities of LDMS

Chapter 3 - Trading Procedures

Chapter 4 - Reporting and Record Keeping

Chapter 5 - Clearing and Settlement

Chapter 6 - Listing Application Process

Chapter 7 - Market Structures

Chapter 8 - Insider Trading and Ethical Issues

4.5 Risk Management

Chapter 1 - Nature & Sources Of Risk

Chapter 2 - Risk Management Approaches

Chapter 3 - Measuring Risks

Chapter 4 - Managing Risks

Chapter 5 - Application Of Derivatives In Risk Management

4.6 Wealth and Portfolio Management

Chapter 1: Nature of Wealth Capital

Chapter 2: Retirement Planning

Chapter 3: Protection Planning

Chapter 4: Estate Planning

Chapter 5: Portfolio Management- Optimal Portfolio Selection

Chapter 6: Equity Portfolio Management

Chapter 7: Fixed Income Portfolio Management

